

**EXETER CITY COUNCIL
AUDIT AND GOVERNANCE COMMITTEE**

PROGRESS OF 2013/14 AUDIT PLAN AS AT 30/06/13

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

Leader

'A well run Council'

Main Accounting 2013-14 (Budget Setting & VAT)	25	5.5	In progress					
Income Management	22	1.5	Start Q4					
Risk Management	10	0.4	Start Q3					
Counter Fraud/Anti-Fraud	20	2.5	Start Q3					
Corporate governance	15	0.4	Start Q3					
External Funding & Grants	10	1.2	Start Q3					
External Audit & NFI	5	6.5	In progress					

Customer Access

'Help me with my financial problem'

'Help me with my housing (need) problem'

'Make it easy for me to pay you'

Housing	68	15.7	In progress					
- Right to Buy			Start Q2					
- Home Contents Insurance								
Housing Benefits	60	39.2	In progress					
- Welfare Support payments			Start Q3					
- Housing Benefit Subsidy								
Creditors	5.5	8.9	In progress					
ICT	40	6.8	In progress					
- Operations Management			Start Q4					
- System Security								
Business Continuity	10	0	Start Q4					
Council Tax	30	0.7	Start Q3					
NDR	11	0.4	Start Q4					
People Management	30	4.7	Start Q3					
Information Governance	15	0.5	Ongoing					
Facilities Management								
- Guildhall Private Hire	7	4.4	In progress					
Debtors	12	9.7	Start Q4					

Housing, Community & Maintaining Assets

'Provide suitable housing'

'Maintain our property assets of the city'

Contracts and Procurement	30	4.3	Ongoing					
Insurance	5	0.7	Start Q4					

Environment

'Keep place looking good'

'Keep me/my environment safe'

Health & Safety	12	0.7	Start Q4					
Allotments	7	9.3	Final	No previous report	Some improvement required	0	8	5
Bereavement Services	8	4.4	Draft					
Licensing	7	0.1	Start Q3					
Fleet Management	7	0	Start Q3					
Sustainability	8	0.4	Start Q3					

Economy and Culture

'Provide great things for me to see and do'

'Help me run a successful business'

Museums Service	14	0.2	Start Q3					
Markets and Halls	7	5.2	Draft					
Leisure Contract	7	0	Start Q2					

City Development

'Deliver good development'

Planning Control	10	0.1	Start Q3					
Car Parks	8	0	Start Q4					
Building Control	8	0	Start Q3					
Land Charges	6	3.7	In progress					

Contingency (special/frauds)	50	7.9						
Total	639	146						

Results of reports finalised during 2013/14 relating to 2012/13 – see Appendix B for summary of reports

Audit Area	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
				H	M	L
Property and Estates	Final	↔	Good	0	1	3
Council Tax (Collection & Recovery)	Final	↔	Significant improvement required	0	10	10
Insurance	Final	↑	Some improvement required	0	10	1
Treasury Management	Final	↔	Good	0	1	4
Members Allowances	Final	↔	Good	0	1	3
Creditors 2012/13	Final	↔	Significant improvement required	2	8	9